

Docket No. 196955US-9847-9847-6X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Goran Holmstrom, et al.

SERIAL NO: 09/355,729

FILED: May 10, 2000

RCE FILED: April 15, 2002

FOR: ROTATING ELECTRIC MACHINE AND METHOD OF MANUFACTURING..



GAU:

2834

EXAMINER: Enad, E.

MAY 17 2002

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#21/ID54  
Dawkins  
RECEIVED 6-7-02

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ Applicant(s) wish to make of record the reference listed on the attached form PTO-1449 which was cited on an International Search Report. The other two references listed on that Search Report have been previously submitted to the USPTO in an Information Disclosure Statement previously filed, and is at least relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.



**22850**

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>196955US-9847-9847-6X PCT</b>		SERIAL NO. <b>09/355,729</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Goran Holmstrom, et al.</b>			
				FILED: <b>May 10, 2000</b>		GROUP <b>17 2232</b> <b>2834</b>	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO	WO 97/45908	12-04-97	WIPO (ENGLISH)		X	
	AP						
	AO						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

BDL:TJF:agm

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/00943

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H02J 3/36

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H02J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
D,A	WO 9745908 A1 (SIEMENS AKTIENGESellschaft), 4 December 1997 (04.12.97), figure 3, abstract	1-27
	--	
A	WO 9843336 A2 (ASEA BROWN BOVERI AB), 1 October 1998 (01.10.98), page 7, line 1 - line 10, figure 1	1-27
	--	
A	US 5499178 A (NED MOHAN), 12 March 1996 (12.03.96), column 13, line 5 - line 31, figure 8	1-27
	--	
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☐ Further documents are listed in the continuation of Box C.
 ☒ See patent family annex.

- \* Special categories of cited documents
- \* "A" document defining the general state of the art which is not considered to be of particular relevance
  - \* "E" earlier document but published on or after the international filing date
  - \* "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another claim or other special reasons (as specified)
  - \* "O" document referring to an oral disclosure, use, exhibition or other means
  - \* "P" document published prior to the international filing date but later than the priority date claimed
  - \* "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
  - \* "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
  - \* "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
  - \* "&" document member of the same patent family

Date of the actual completion of the international search

16 February 2000

Date of mailing of the international search report

22-02-2000

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INTERNATIONAL SEARCH REPORT  
Information on patent family members

International application No.  
PCT/SE 99/00943

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9745908 A1	04/12/97	DE 19620906 A EP 0939995 A	08/01/98 08/09/99
WO 9843336 A2	01/10/98	AU 3468797 A CA 2218942 A EP 0909354 A SE 9701060 A SE 9703329 A US 5980095 A	21/01/98 24/09/98 21/04/99 04/03/98 25/09/98 09/11/99
US 5499178 A	12/03/96	WO 9418683 A EP 0617858 A JP 7502160 T US 5345375 A WO 9312576 A	18/08/94 05/10/94 02/03/95 06/09/94 24/06/93